

9-28-05

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UV-67 Cont.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Michael D. Ellis et al.  
Application No. : 10/723,586 Confirmation No. : 7566  
Filed : November 24, 2003  
For : INTERACTIVE TELEVISION PROGRAM GUIDE  
WITH SIMULTANEOUS WATCH AND RECORD  
CAPABILITIES  
Group Art Unit : 2611  
Examiner : Christopher C. Grant

New York, New York 10020  
September 26, 2005

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97,  
applicants hereby make the following references of record  
in the above-identified patent application:

U.S. Patent Documents

Kono et al.	5,187,589	02/16/93
Takashima et al.	5,285,284	02/08/94
Na	5,296,931	03/22/94
Florin et al.	5,583,560	12/10/96
Lett et al.	5,592,551	01/07/97
Lawler et al.	5,805,763	09/08/98
Takahisa et al.	5,812,937	09/22/98
Lemmons et al.	5,880,768	03/09/99
Williams et al.	5,977,964	11/02/99
Knee et al.	6,014,184	01/11/00
Kim	6,058,242	05/02/00
Knudson et al.	6,141,488	10/31/00

Alexander et al.	6,177,931	01/23/01
Schein et al.	6,388,714	05/14/02

Foreign Patent Documents

EPO	EP 0682452 A2	11/15/95
*PCT	WO 00/59214	10/05/00

Other Documents

User's Guide RCA Color TV with TV Plus + Guide, 1997.

"Advanced Analog Systems - Addressable Terminals"  
General Instrument Corp. of Horsham, Pennsylvania  
(URL:<http://www.gi.com/BUSAREA/ANALOG/TERMINAL/WATCH/watch.html>) Printed from the Internet on March 4, 1999.

The aforementioned references, except the one reference indicated by an asterisk, are U.S. Patents or were cited in U.S. Patent Application No. 09/329,850, filed June 11, 1999, now abandoned, from which the present application claims priority under 35 U.S.C. § 120. Accordingly, pursuant to 37 C.F.R. §§ 1.98(a)(2)(ii) and 1.98(d), no copies of these references are enclosed. A copy of the reference indicated by an asterisk is enclosed. All of the aforementioned references are listed on the accompanying Form PTO/SB/08 (submitted in duplicate).

Applicants also wish to call the attention of the Examiner to U.S. Patent Application No. 11/032,733, filed January 10, 2005. A copy of this patent application is enclosed. This application has not been listed on the accompanying Form PTO/SB/08 to prevent its respective Application No. from being printed on the face

face of any patent issuing from the present application. Applicants respectfully request that the Examiner initial the "All references have been considered" line on the last page of the enclosed Form PTO/SB/08 to indicate that this reference was considered. See MPEP § 609 III.C(2).

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.


It is respectfully requested that the above references be (1) fully considered by the Patent and Trademark Office during examination of this application; and (2) printed on any patent which may issue on this application. Applicants request that a copy of Form PTO/SB/08, as considered and initialed by the Examiner, be returned with the next communication.

This Information Disclosure Statement is being submitted more than three months from the application filing date but before the mailing date of the first Office Action on the merits. Pursuant to 37 C.F.R. § 1.97(b)(3), submission of this Statement requires no fee. However, if for any reason a fee is due, the Director is hereby authorized to charge payment of any fees required in connection with this Statement, or

credit any overpayment of the same, to Deposit Account No. 06-1075 (Order No.: 003597.0067). A duplicate copy of this Statement is enclosed herewith.

Consideration of the foregoing in relation to this patent application is respectfully requested.

Respectfully submitted,



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EXPRESS MAIL CERTIFICATION

"Express Mail" Mailing Label No. EV615581949US  
Date of Deposit: September 26, 2005

I hereby certify that this certification and  
the following papers and fees:

1. Information Disclosure Statement (in duplicate);
2. Form PTO/SB/08 (in duplicate);
3. Copies of two (2) cited documents; and
4. Return postcard

are being deposited with the United States Postal Service  
"EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under  
37 C.F.R. § 1.10 on the date indicated above and are  
addressed to Mail Stop Amendment, Commissioner for  
Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Name: Isatta B. Smith

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Substitute for Form PTO-1449

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANTS**

(use as many sheets as necessary)

Sheet 1 of 2

**Complete if known**

<b>Application Number</b>	10/723,586
<b>Filing Date</b>	November 24, 2003
<b>First Named Inventor</b>	Michael D. Ellis
<b>Art Unit</b>	2611
<b>Examiner Name</b>	Christopher C. Grant
<b>Attorney Docket Number</b>	UV-67 Cont.

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		5,187,589	02-16-1993	Kono et al.	
		5,285,284	02-08-1994	Takashima et al.	
		5,296,931	03-22-1994	Na	
		5,583,560	12-10-1996	Florin et al.	
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		6,177,931	01-23-2001	Alexander et al.	
		6,388,714	05-14-2002	Schein et al.	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>1</sup> - Number <sup>2</sup> - Kind Code <sup>3</sup>				
		EP 0682452 A2	11-15-1995	EPO		
		WO 00/59214	10-05-2000	PCT		

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.

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Substitute for Form PTO-1449  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Complete if known</b>	
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				<b>Examiner Name</b>	Christopher C. Grant
<b>Sheet</b>	2	<b>of</b>	2	<b>Attorney Docket Number</b>	UV-67 Cont.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and-or country where published	T <sup>2</sup>
		User's Guide RCA Color TV with TV Plus + Guide, 1997.	
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<b>Examiner Signature</b>		<b>Date Considered</b>	
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All References Have Been Considered: \_\_\_\_\_  
 Examiner



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